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14. Dezember 2006

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An

Bundesministerium für Finanzen

Zollämter

Steuer- und Zollkoordination, Fachbereich Zoll und Verbrauchsteuern

**ZT-3400, Zollaussetzungen für Waren, die mit
Luftfahrttauglichkeitsbescheinigung eingeführt werden**

Die Arbeitsrichtlinie ZT-3400 (Luftfahrttauglichkeitsbescheinigung) stellt einen Auslegungsbehelf zu den von den Zollämtern und Zollorganen zu vollziehenden Regelungen bei der Einfuhr bestimmter Waren für Luftfahrzeuge dar, der im Interesse einer einheitlichen Vorgangsweise mitgeteilt wird.

Über die gesetzlichen Bestimmungen hinausgehende Rechte und Pflichten können aus dieser Arbeitsrichtlinie nicht abgeleitet werden.

Bei Erledigungen haben Zitierungen mit Hinweisen auf diese Arbeitsrichtlinie zu unterbleiben.

Bundesministerium für Finanzen, 14. Dezember 2006

0. Einführung

Mit [Verordnung \(EU\) 2018/581 des Rates vom 16. April 2018](#) hat der Rat der EU ein Begünstigungsschema für Waren geschaffen, die zum Einbau in oder zur Verwendung für Luftfahrzeuge bestimmt sind.

1. Begünstigter Warenkreis, Unterlagen

Artikel 1 Absatz 1 der [Verordnung \(EU\) 2018/581](#) lautet:

"Die autonomen Zollsätze des Gemeinsamen Zolltarifs gemäß der Verordnung (EWG) Nr. 2658/87 für Teile, Baugruppen und andere Waren, die zum Einbau in oder zur Verwendung für Luftfahrzeuge oder Teile von Luftfahrzeugen bei deren Herstellung, Instandsetzung, Instandhaltung, Umbau, Änderung oder Umrüstung bestimmt sind, werden ausgesetzt.

Die Aussetzung dieser autonomen Zollsätze des Gemeinsamen Zolltarifs gilt auch für Waren, die ihren Lufttüchtigkeitsstatus verloren haben, sofern diese zur Instandsetzung oder Instandhaltung eingeführt werden."

Mit [Durchführungsverordnung \(EU\) 2018/1517 der Kommission vom 11. Oktober 2018](#) hat die Europäische Kommission jene Positionen, Unterpositionen und Codes der Kombinierten Nomenklatur festgelegt, für welche diese Begünstigung in Betracht kommt. Dieser Warenkreis ist in e-Zoll ersichtlich.

Zusätzlich zu der Einreihung ist Voraussetzung für die Anwendung der Begünstigung, dass die Ware Grund ihrer Art dazu geeignet sind, in Luftfahrzeuge eingebaut oder in Luftfahrzeugen verwendet zu werden.

Unter dem Ausdruck "Luftfahrzeuge" im Sinne dieser Vorschrift sind neben Flugzeugen auch alle anderen Arten von Luftfahrzeugen (jedoch keine Raumfahrzeuge) des Kapitels 88 der Kombinierten Nomenklatur zu verstehen.

Grundsätzlich ist es für die Gewährung der Zollausssetzung unerheblich, ob Waren tatsächlich in Luftfahrzeuge eingebaut oder dort verwendet werden. Sollten jedoch die Umstände der Einfuhr (z.B. Warenempfänger, Art der importierten Ware) die Vermutung nahe legen, dass Waren in erheblichem Umfang für andere Zwecke als zum Einbau in Luftfahrzeuge verwendet werden, wird in der Regel ein "begründeter Verdacht" im Sinne des nachfolgenden Abschnitt 3 vorliegen und nach den entsprechenden Weisungen vorzugehen sein.

2. Unterlagen

Laut Artikel 2 [Verordnung \(EU\) 2018/581](#) des Rates in Verbindung mit Artikel 2 der [Durchführungsverordnung \(EU\) 2018/1517 der Kommission vom 11. Oktober 2018](#) kann die Aussetzung nur in Anspruch genommen werden, wenn der Anmelder eine der folgenden Freigabebescheinigungen bereitstellt:

**Tabelle der ausstellenden Stellen und der von diesen ausgestellten
Bescheinigungen**

Luftfahrtbehörde	Offizielle Freigabebescheinigung
Joint Aviation Authorities (Europe) (Gemeinsame Luftfahrtbehörden – Europa)	EASA-Formblatt 1
Joint Aviation Authorities (Europe) (<i>Gemeinsame Luftfahrtbehörden – Europa</i>)	JAA FORM 1
Federal Aviation Administration (USA) (<i>US-Luftfahrtbundesamt</i>)	FAA Form 8130-3
Transport Canada Civil Aviation (<i>Kanadische Zivilluftfahrtbehörde</i>)	TCCA FORM ONE TCCA 24-0078
National Civil Aviation Agency (Brazil) (<i>Nationale Zivilluftfahrtbehörde – Brasilien</i>)	Form F-100-01 (SEGV00003)
Directorate General of Civil Aviation (Turkey) (<i>Generaldirektion für Zivilluftfahrt – Türkei</i>)	SHGM FORM 1
Civil Aviation Safety Authority (Australia) (<i>Behörde für die Sicherheit der Zivilluftfahrt – Australien</i>)	CASA FORM 1
Japan Civil Aviation Bureau (<i>Japanische Zivilluftfahrtbehörde</i>)	Form 18
Civil Aviation Authority of Singapore (<i>Zivilluftfahrtbehörde Singapurs</i>)	CAAS (AW)95 CAAS (AW)96
Civil Aviation Department (Hong Kong) (<i>Ministerium für Zivilluftfahrt – Hongkong</i>)	CAD FORM ONE
Civil Aviation Authority of New Zealand (<i>Zivilluftfahrtbehörde Neuseelands</i>)	CAA FORM 8110-3
Civil Aviation Administration of China (<i>Zivilluftfahrtbehörde Chinas</i>)	CAAC Form AAC-038
Civil Aviation Authority of Vietnam (<i>Zivilluftfahrtbehörde Vietnams</i>)	CAAV FORM ONE
Directorate General of Civil Aviation (Indonesia) (<i>Generaldirektion für Zivilluftfahrt – Indonesien</i>)	DAAO Form 21-18

Civil Aviation Authority of the Philippines (Zivilluftfahrtbehörde der Philippinen)	CAAP FORM 1
General Authority of Civil Aviation (Saudi-Arabia) (Allgemeine Zivilluftfahrtbehörde – Saudi-Arabien)	GACA SS&AT_F8130-3
General Civil Aviation Authority (United Arab Emirates) (Allgemeine Zivilluftfahrtbehörde – Vereinigte Arabische Emirate)	AW FORM 1
Federal Air Transport Agency of the Russian Federation (Luftfahrtbehörde der Russischen Föderation)	Form C-5
Moroccan Civil Aviation Authority	MCAA Form

Die Freigabebescheinigungen müssen ausreichende Informationen enthalten um erkennen zu können dass sie tatsächlich für jene Waren ausgestellt wurden, für welche die Aussetzung beantragt wird.

Auf Grund des Anhangs AVSHF-1, Artikel 16 und 21, des UK-Austrittsabkommen kann zudem ab 1. 1. 2021 das britische Papier „Authorized Release Certificate CAA Form 1“ im Rahmen der VO 2018/581 anerkannt werden.

3. Vorgangsweise bei Zollabfertigung

Die Aussetzung kann nur in Anspruch genommen werden, wenn der Anmelder den Zollbehörden bei der Abgabe der Zollanmeldung zur Überlassung zum zollrechtlich freien Verkehr eine Freigabebescheinigung EASA-Formblatt 1 oder eine der vorstehend angeführten Bescheinigungen mit Mitteln der elektronischen Datenverarbeitung oder auf andere Weise bereitstellt. Dabei ist es nicht erforderlich dass diese Unterlage tatsächlich vorgelegt wird; es ist vielmehr ausreichend wenn sie auf Verlangen vorgelegt werden kann.

Nach den Vorschriften der Luftfahrtbehörden dürfen nur solche Bau- und Ersatzteile in Luftfahrzeuge eingebaut werden, für welche vom Hersteller eine derartige Bescheinigung ausgestellt wurde. Ein Einbau anderer Teile hat nicht nur rechtliche Schritte der Luftfahrtbehörden, sondern auch den Verlust des Versicherungsschutzes des Luftfahrzeuges zur Folge. Es kann daher davon ausgegangen werden, dass für alle Bau- und Ersatzteile von Luftfahrzeugen eine derartige Bescheinigung existiert.

In der Zollanmeldung zur Überlassung zum zollrechtlich freien Verkehr ist die Kennnummer der Freigabebescheinigung oder, im Falle der Instandsetzung oder der Instandhaltung von Waren, die ihren Lufttüchtigkeitsstatus verloren haben, die Kennnummer einer früheren Freigabebescheinigung anzugeben. Diese Kennnummer muss nicht zwingend die Nummer

der Bescheinigung sein; es kann vielmehr jede beliebige (auch firmeninterne) Nummer oder Bezeichnung angegeben werden, vorausgesetzt dass die jeweilige Bescheinigung unter dieser Nummer oder Bezeichnung auffindbar ist.

In Feld 36 ("Präferenz") der Zollanmeldung ist der Code "119" anzugeben.

Es wird darauf hingewiesen, dass allenfalls im Original vorgelegte Bescheinigung dem Importeur nach beendeter Zollabfertigung auszuhändigen ist. Abschreibungen, Vermerke und dergleichen auf der Bescheinigung sind zu unterlassen.

4. Zweifelsfälle

Artikel 3 der [Verordnung \(EU\) 2018/581](#) lautet:

"Haben die Zollbehörden den begründeten Verdacht, dass eine ihnen gemäß Artikel 2 Absatz 1 bereitgestellte Bescheinigung gefälscht wurde, so können sie ein Sachverständigengutachten von einem Vertreter der nationalen Luftfahrtbehörden anfordern. Der Einführer trägt die Kosten des Sachverständigengutachtens.

Bei der Entscheidung, ob sie ein Sachverständigengutachten anfordern, berücksichtigen die Zollbehörden das Risiko, dass die Kosten des Sachverständigengutachtens den Nutzen der Zollaussetzung für den Einführer in dem Fall übersteigen würden, dass dem Sachverständigengutachten zufolge kein Verstoß gegen die Vorschriften über die Ausstellung dieser Bescheinigungen vorliegt."

Waren, für welche eine derartige Bescheinigung rechtmäßig ausgestellt werden kann, haben auf Grund der hohen Qualitätsanforderungen in der Regel einen weit höheren Preis als vergleichbare Waren ohne derartige Bescheinigung. Aus wirtschaftlichen Gründen kann daher davon ausgegangen werden, dass diese Waren in der Regel nur für Luftfahrzeuge verwendet werden. Lassen daher die Umstände des Importes vermuten, dass eine Ware in größerem Ausmaß für andere Zwecke als für Luftfahrzeuge verwendet werden soll, wird in der Regel ein "begründeter Verdacht" gegeben sein.

In Fällen eines derartigen Verdachtes ist die Zollverwaltung berechtigt, auf Kosten des Einführers ein Gutachten der Zivilluftfahrtbehörden über die Rechtmäßigkeit der vorgelegten Luftfahrttauglichkeitsbescheinigung einzuholen.

Die Einholung eines derartigen Gutachtens hat stets im Wege des Bundesministeriums für Finanzen zu erfolgen. Zu diesem Zweck sind dem Bundesministerium für Finanzen, Abteilung III/11, eine Kopie der Bescheinigung und sowie Ablichtungen der Begleitpapiere vorzulegen. Weiters ist anzugeben, weshalb die Richtigkeit der Bescheinigung angezweifelt wird.

Eine Einholung eines Gutachtens ist nur einzuleiten, wenn der Verdacht durch keine der nachstehenden Prüfungen ausgeräumt werden konnte.

- Waren, die in Luftfahrzeuge eingebaut werden, müssen nach den Vorschriften der Luftfahrtbehörden immer von einer derartigen Bescheinigung begleitet sein. Die ordnungsgemäße Ausstellung dieser Bescheinigungen wird von den Luftfahrtbehörden regelmäßig geprüft. Wird daher nachgewiesen oder glaubhaft gemacht, dass eine Ware tatsächlich in ein Luftfahrzeug eingebaut wird, ist davon auszugehen, dass die Bescheinigung rechtmäßig ausgestellt wurde.
- Ebenso ist von einer rechtmäßigen Ausstellung der Bescheinigung auszugehen, wenn nachgewiesen oder glaubhaft gemacht wird, dass baugleiche Waren (dh. Waren, die in allen Details den importierten Waren entsprechen) in Luftfahrzeugen verwendet werden.
- Von der Einholung eines Gutachtens ist weiters Abstand zu nehmen, wenn der Importeur nachweist oder glaubhaft macht, dass der Aussteller tatsächlich zur Ausstellung einer Bescheinigung für die zur Abfertigung gestellte Ware berechtigt ist. Dies kann zB durch Vorlage des entsprechenden Bescheides der Luftfahrtbehörde erfolgen.

Bei geringem Warenwert ist die Einholung eines Gutachtens nur dann einzuleiten, wenn eine hohe Wahrscheinlichkeit dafür besteht, dass die Bescheinigung zu Unrecht ausgestellt wurde.

5. Muster der Bescheinigungen

5.1 Formular „EASA-Formblatt 1“ (Gemeinsame Luftfahrtbehörden – Europa)

1. Approving Competent Authority/Country		AUTHORISED RELEASE CERTIFICATE EASA FORM 1			3. Form Tracking Number	
4. Approved Organisation Name and Address:					5. Work Order/Contract/Invoice	
6. Item	7. Description	8. Part No	9. Eligibility (*)	10. Quantity	11. Serial/Batch No	12. Status/Work
13. Remarks Part M Section A Subpart F organisation approval number: AAA RRR XXXX						
14. Certifies that the items identified above were manufactured in conformity to: ☐ approved design data and are in condition for safe operation ☐ non-approved design data specified in block 13			19. ☐ Part-145.A.50 Release to Service ☐ Other regulation specified in block 13 Certifies that unless otherwise specified in block 13, the work identified in block 12 and described in block 13, was accomplished in accordance with Part-145 and in respect to that work the items are considered ready for release to service.			
15. Authorised Signature		16. Approval/ Authorisation Number		20. Authorised Signature		21. Certificate/Approval Ref. No
17. Name		18. Date (d/m/y)		22. Name		23. Date (d/m/y)

5.2. Formular „FAA Form 8130-3“ (US-Luftfahrtbundesamt)

1. Approving Civil Aviation Authority/Country: FAA/United States		2. AUTHORIZED RELEASE CERTIFICATE FAA Form 8130-3, AIRWORTHINESS APPROVAL TAG			3. Form Tracking Number:
4. Organization Name and Address:				5. Work Order/Contract/Invoice Number:	
6. Item:	7. Description:	8. Part Number:	9. Quantity:	10. Serial Number:	11. Status/Work:
12. Remarks:					
13a. Certifies the items identified above were manufactured in conformity to: ☐ Approved design data and are in a condition for safe operation. ☐ Non-approved design data specified in Block 12.			14a. ☐ 14 CFR 43.9 Return to Service ☐ Other regulation specified in Block 12 Certifies that unless otherwise specified in Block 12, the work identified in Block 11 and described in Block 12 was accomplished in accordance with Title 14, Code of Federal Regulations, part 43 and in respect to that work, the items are approved for return to service.		
13b. Authorized Signature:		13c. Approval/Authorization No.:		14b. Authorized Signature:	
13d. Name (Typed or Printed):		13e. Date (dd/mm/yyyy):		14d. Name (Typed or Printed):	
User/Installer Responsibilities					
It is important to understand that the existence of this document alone does not automatically constitute authority to install the aircraft engine/propeller/article. Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different than the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts aircraft engine(s)/propeller(s)/article(s) from the airworthiness authority of the country specified in Block 1. Statements in Blocks 13a and 14a do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.					
FAA Form 8130-3 (02-14)			NSN: 0052-00-012-9005		

5.3. Formular „TCCA FORM ONE“ (Kanadische Zivilluftfahrtbehörde)

Figure 1 - Authorized Release Certificate

1. Approving Civil Aviation Authority/Country Transport Canada		2. AUTHORIZED RELEASE CERTIFICATE FORM ONE			3. Form Tracking No.
4. Organization Name and Address					5. Work Order/Contract/Invoice
6. Item	7. Description	8. Part No.	9. Qty.	10. Serial/Batch No.	11. Status/work
12. Remarks					
13a. Certifies that the items identified above were manufactured in conformity to: ☐ approved design data and are in condition for safe operation ☐ non approved design data specified in block 12.			14a. ☐ CAR 571.10 Maintenance Release ☐ Other regulation specified in block 12 Certifies that unless otherwise specified in block 12, the work identified in block 11 and described in block 12, has been performed in compliance with the Canadian Aviation Regulations.		
13b. Signature		13c. Approved Organization Number		14b. Signature	
				14c. Approved Organization Number	
13d. Name		13e. Date (dd/mm/yyyy)		14d. Name	
				14e. Date (dd/mm/yyyy)	

(Previously form 24-0078)
on reverse side

Important: See notes

Installer Responsibilities

This certificate does not constitute authority to install.

Installers working in accordance with the national regulations of a country other than that specified in block 1 must ensure that their regulations recognize certifications from the country specified.

Statements in blocks 13a or 14a do not constitute installation certification. In all cases, the technical record for the aircraft must contain an installation certification issued in accordance with the applicable national regulations before the aircraft may be flown.

5.4. Formular „TCCA 24-0078“ (Kanadische Zivilluftfahrtbehörde)

Figure 1 - Authorized Release Certificate

1. Approving national aviation authority/country Transport Canada		2. AUTHORIZED RELEASE CERTIFICATE TCCA 24-0078			3. Firm tracking No.	
4. Approved organization name and address					5. Work order/contract/series	
6. Item	7. Description	8. Part No.	9. Eligibility*	10. Qty.	11. Serial/batch No.	12. Status/work
13. Remarks						
14. Certifies that the items identified above were manufactured in conformity to: ☐ Approved design data and are in condition for safe operation. ☐ Non approved design data specified in block 13.			15. ☐ CAR 871.19 Maintenance release. ☐ Other regulations specified in block 13. Certifies that, except where otherwise specified in block 13, the work identified in block 12 and described in block 13 was performed in accordance with CAR 871.			
16. Authorized signature		18. Certificate/Approval ref. No.		20. Authorized signature		22. Certificate/Approval ref. No.
17. Name		19. Date (dd/mm/yyyy)		21. Name		23. Date (dd/mm/yyyy)

12/09/2001 *Installer must cross-check eligibility with approved data

5.5. Formular „F-100-01 (SEGV00 003)“ (Nationale Zivilluftfahrtbehörde – Brasilien)

1. País (Country) BRASIL		2. Título (Title) AGÊNCIA NACIONAL DE AVIAÇÃO CIVIL (BRAZILIAN CIVIL AVIATION AUTHORITY) CERTIFICADO DE LIBERAÇÃO AUTORIZADA (AUTHORIZED RELEASE CERTIFICATE) ETIQUETA DE APROVAÇÃO DE AERONAVEGABILIDADE (AIRWORTHINESS APPROVAL TAG) Formulário (Form) F-100-01 (SEGV00 003)			3. Certificado Nº (Certificate No./System Tracking Ref.)
4. Empresa (nome e endereço) (Organization Name and Address)					5. Ordem Serviço/ Contrato / Nota Fiscal (Work Order, Contract or Invoice)
6. Item (Item)	7. Descrição (Description)	8. Número da Peça (Part Number)	9. Quantidade (Quantity)	10. Número de Série / Lote (Serial/ Batch Number)	11. Categoria / Trabalho (Status / Hour)
12. Observações (Remarks)					
Partes com vida limitada devem ser acompanhadas de histórico de manutenção incluindo tempo total / ciclo total / tempo desde que novo. (Limited life parts must be accompanied by maintenance history including total time/total cycles/times since new)					
13. Certifica que o(s) item(s) acima identificado(s) foi (foram) fabricado(s) em conformidade com: (Certifies that the item(s) identified above was (were) manufactured in conformity to:) ☐ dados de projeto aprovados e está(is) em condição segura de operação (approved design data and are in a condition for safe operation) ☐ dados de projeto não aprovados especificados no bloco 12 (non-approved design data specified in block 12)		14. ☐ Retorno ao serviço de acordo com RBAC 43.9 (Return to service in accordance with RBAC 43.9) ☐ Outros regulamentos especificados no bloco 12 (Other regulations specified in block 12) Certifica que, a menos do especificado no bloco 12, o trabalho especificado no bloco 11 e descrito no bloco 12 foi executado de acordo com o Regulamento Brasileiro de Aviação Civil - RBAC 43 e, em relação ao trabalho realizado, a(s) peça(s) é (são) aprovada(s) para retorno ao serviço. (Certifies that, unless otherwise specified in block 12, the work identified in block 11 and described in block 12 was accomplished in accordance with Brazilian Civil Aviation Regulations - RBAC 43 and in respect to the work performed the part(s) is (are) approved for return to service.)			
14. Assinatura do Representante da ANAC - (ANAC Representative Signature)		15. Nº Autorização da ANAC (ANAC Authorization No.)		16. Pessoa Autorizada (Authorized Signature)	
17. Nome (Name)		18. Data (Date)		19. Nome (Name)	
				20. Nº COMCOA - (Certificate Number)	
				21. Data (Date)	

* O INSTALADOR DEVE FAZER VERIFICAÇÃO CRUZADA DA APLICABILIDADE ATRAVÉS DOS DADOS TÉCNICOS APLICÁVEIS. (INSTALLER MUST CROSS CHECK ELIGIBILITY WITH APPLICABLE TECHNICAL DATA)

RESPONSABILIDADE DO USUÁRIO / INSTALADOR

É IMPORTANTE COMPREENDER QUE A EXISTÊNCIA DESTA DOCUMENTO POR SI SOZINHO NÃO CONSTITUI AUTOMATICAMENTE UMA AUTORIZAÇÃO PARA INSTALAR A PARTE / COMPONENTE / ASSEMBLY.
SE O TRABALHO DO USUÁRIO/INSTALADOR É REALIZADO DE ACORDO COM OS REGULAMENTOS NACIONAIS DE UMA AUTORIDADE DE AERONAVEGAÇÃO, É NECESSÁRIO QUE O USUÁRIO/INSTALADOR ENTENDA QUE A SUA AUTORIZAÇÃO DE AERONAVEGABILIDADE ACESSA PARTES/COMPONENTES/ASSEMBLIES DA AUTORIDADE DE AERONAVEGAÇÃO DO PAÍS ESPECIFICADO NO BLOCO 1.
AS DECLARAÇÕES NOS BLOCOS 13 E 14 NÃO CONSTITUEM UM CERTIFICADO DE INSTALAÇÃO. EM TODOS OS CASOS, OS REGISTROS DE MANUTENÇÃO DA AERONAVE DEVEM CONTER UM CERTIFICADO DE INSTALAÇÃO DE ACORDO COM REGULAMENTOS NACIONAIS PARA O AERONAVEGADOR ANTES QUE A AERONAVE FORNEÇA LIBERAÇÃO PARA VOAR.

(USER / INSTALLER RESPONSIBILITY)

IT IS IMPORTANT TO UNDERSTAND THAT THE EXISTENCE OF THIS DOCUMENT ALONE DOES NOT AUTOMATICALLY CONSTITUTE AUTHORITY TO INSTALL THE PART / COMPONENT / ASSEMBLY.
WHERE THE USER / INSTALLER WORK IS PERFORMED IN ACCORDANCE WITH THE NATIONAL REGULATIONS OF AN AIRWORTHINESS AUTHORITY, SUPERIOR THAN THE AIRWORTHINESS AUTHORITY OF THE COUNTRY SPECIFIED IN BLOCK 1, IT IS ESSENTIAL THAT THE USER/INSTALLER UNDERSTANDS THAT HIS/HER AIRWORTHINESS AUTHORITY ACCEPTS PARTS/COMPONENTS/ASSEMBLIES FROM THE AIRWORTHINESS AUTHORITY OF THE COUNTRY SPECIFIED IN BLOCK 1.
STATEMENTS IN BLOCK 13 AND 14 DO NOT CONSTITUTE INSTALLATION CERTIFICATION. IN ALL CASES, AIRCRAFT MAINTENANCE RECORDS MUST CONTAIN AN INSTALLATION CERTIFICATE ISSUED IN ACCORDANCE WITH THE NATIONAL REGULATIONS BY THE USER / INSTALLER BEFORE THE AIRCRAFT MAY BE FLOWN.

F-100-01C (10.16)

5.6. Formular „SHGM FORM 1“ (Generaldirektion für Zivilluftfahrt – Türkei)



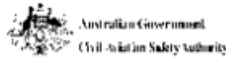
1. Approving Competent Authority / Country Turkish DGCA / Turkey		2. AUTHORISED RELEASE CERTIFICATE SHGM FORM 1			3. Form Tracking Number
4. Organisation Name and Address:					5. Work Order/Contract/Invoice
6. Item	7. Description	8. Part No.	9. Qty.	10. Serial No.	11. Status/Work
12. Remarks					
13a. Certifies that the items identified above were manufactured in conformity to: ☐ approved design data and are in a condition for safe operation ☐ non-approved design data specified in block 12					
14a. ☐ Part-145.A.50 Release to Service ☐ Other regulation specified in block 12 Certifies that unless otherwise specified in block 12, the work identified in block 11 and described in block 12, was accomplished in accordance with SHY-145 and in respect to that work the items are considered ready for release to service.					
13b. Authorised Signature		13c. Approval/ Authorisation Number		14b. Authorised Signature	
13d. Name		13e. Date (dd mmm yyyy)		14c. Certificate/Approval Ref. No.	
				14d. Name	
				14e. Date (dd mmm yyyy)	
USER/INSTALLER RESPONSIBILITIES This certificate does not automatically constitute authority to install the item(s). Where the user/installer performs work in accordance with regulations of an airworthiness authority different than the airworthiness authority specified in block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts items from the airworthiness authority specified in block 1. Statements in blocks 13a and 14a do not constitute installation certification. In all cases aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.					

Doküman No : SHGM.UED.28005653.PR.01

Revizyon No-Tarih : 01/21.11.2013

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5.7. Formular „CASA FORM 1“ (Behörde für die Sicherheit der Zivilluftfahrt – Australien)

1. Approving National Aviation Authority /Country 		2. AUTHORISED RELEASE CERTIFICATE CASA FORM 1			3. Form Tracking No:
4. Organisation Name and Address:				5. Work Order/ Contract/ Invoice Number:	
6. Item	7. Description	8. Part Number	9. Quantity	10. Serial No.	11. Status/Work
12. Remarks:					
13a. Certifies that the items listed above were manufactured in conformity to: ☐ Approved design data and in a condition for safe operation; or ☐ Non-approved design data specified in Block 12. 14a. ☐ Division 42.H.4 of CASR 1998 - Certificate of Release to Service (CASR Part 145 AMO) ☐ Regulation 42WA of CAR 1988 - Return to Service (for Part 4A maintenance under CAR 1988) ☐ Other regulations specified in Block 12 Certifies that unless otherwise specified in Block 12, the work identified in Block 11 and described in Block 12 was carried out in accordance with Civil Aviation Safety Regulations, 1988 & 1998 and in respect to that work, the items are approved for return to service.					
13b. Authorised Signature:		13c. CASA Approval No:		14b. Authorised Signature:	
13d. Name: (printed or typed)		13e. Date: (dd/mm/yyyy)		14d. Name: (printed or typed)	
User/Installer Responsibilities It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component/assembly. Where the user/installer works in accordance with the national regulations of a National Aviation Authority (NAA) different than the NAA of the country specified in Block 1 it is essential that the user/installer ensures that his/her NAA accepts parts, components, assemblies from the NAA of the country specified in Block 1. Statements in Block 13a and 14a do not constitute installation certification. In all cases the aircraft maintenance record must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.					

5.8. Formular „Form 18“ (Japanische Zivilluftfahrtbehörde)

Form 18

1. 国名 Japan	2. 品名 装備品 基準適合証 EQUIPMENT BASELINE COMPLIANCE				3. 証明番号 Certificate Ref. No.		
4. 認定事業者 Organizer approved by the aeronautical authority of the country, specified in Block 1 to issue this form.					5. 発行者、承認官、署名 Issuer, Officer/Contract officer		
6. 品目名 Item	7. 品目説明 Description	8. 部品番号 Part No.	9. 材質 Eligibility *	10. 図面 No.	11. 製造ロット Serial/lotch No.	12. 状態 修理済/済 Status/Once	
13. 備考 Remark:							
14. 保証期間を含む修理済部品は、修理履歴（修理履歴）を含む保証期間の延長、修理履歴の記録を要する。 14. 保証期間を含む修理済部品は、修理履歴（修理履歴）を含む保証期間の延長、修理履歴の記録を要する。							
15. 保証期間を含む修理済部品は、修理履歴（修理履歴）を含む保証期間の延長、修理履歴の記録を要する。 15. 保証期間を含む修理済部品は、修理履歴（修理履歴）を含む保証期間の延長、修理履歴の記録を要する。				16. 保証期間を含む修理済部品は、修理履歴（修理履歴）を含む保証期間の延長、修理履歴の記録を要する。 16. 保証期間を含む修理済部品は、修理履歴（修理履歴）を含む保証期間の延長、修理履歴の記録を要する。			
17. 署名/署名 Signature		18. 承認番号/承認 Approved Reference Number		19. 署名/署名 Signature		20. 承認番号/承認 Approved Reference Number	
21. 氏名 Name		22. 発行者/発行者 Date(d/m/y)		23. 氏名 Name		24. 発行者/発行者 Date(d/m/y)	

* 保証期間を含む修理済部品は、修理履歴（修理履歴）を含む保証期間の延長、修理履歴の記録を要する。

2.001 Part 1 (40)

5.9. Formular „CAAS (AW)96“ (Zivilluftfahrtbehörde Singapurs)

1. Country SINGAPORE		2. CIVIL AVIATION AUTHORITY OF SINGAPORE AUTHORISED RELEASE CERTIFICATE CAAS(AW)96				3. Form Tracking Number	
4. Approved Organisation Name and Address						5. Work Order/Contract/Invoice	
6. Item	7. Description	8. Part No.	9. Eligibility *	10. Quantity	11. Serial/Batch No.	12. Status/Work	
13. Remarks							
14. NEW PARTS Certifies that the items identified above were manufactured in conformity to: ☐ Approved design data and are in condition for safe operation ☐ Non-approved design data specified in Block 13				19. USED PARTS ☐ SAR-145.50 Release to Service ☐ Other regulation specified in Block 13 Certifies that unless specified in Block 13, the work identified in Block 12 and described in Block 13, was accomplished in accordance with SAR-145 and the Air Navigation Order and in respect to that work the items are considered ready for release to service.			
15. Authorised Signature		16. CAAS Approval No.		20. Authorised Signature		21. CAAS Approval No.	
17. Name		18. Date (d/m/y)		22. Name		23. Date (d/m/y)	

CAAS(AW)96 - Issue 2 * Installer must cross check eligibility with applicable technical data

(Front)

USER / INSTALLER RESPONSIBILITIES

NOTE:

1. It is important to understand that the existence of the Certificate alone does not automatically constitute authority to install the part/component/assembly.
2. Where the user/installer works in accordance with the national regulations of an Airworthiness Authority different from the Civil Aviation Authority of Singapore (CAAS), it is essential that the user/installer ensures that his/her Airworthiness Authority accepts parts/components/assemblies from the CAAS.
3. Statements 14 and 19 do not constitute installation certification. In all cases, the aircraft maintenance record must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.

(Reverse)

5.10. Formular „CAD FORM ONE“ (Ministerium für Zivilluftfahrt – Hongkong)

1 CIVIL AVIATION DEPARTMENT HONG KONG, CHINA		2 AUTHORISED RELEASE CERTIFICATE CAD FORM ONE			3 Certificate Ref. No.
4 Approved Organisation Name and Address:					5 Work Order/Contract/Invoice
6 Item	7 Description	8 Part No.	9 Qty	10 Serial/Batch No.	11 Status/Work
12 Remarks					
13a Certifies that the item(s) identified above was (were) manufactured in conformity to: ☒ approved design data and are in condition for safe operation ☐ non-approved design data specified in Block 12 (See reverse side)			14a ☒ HKAR-145.50 Release to Service ☐ Other regulation specified in Block 12 Certifies that unless otherwise specified in Block 12 the work identified in Block 11 and described in Block 12, was accomplished in accordance with HKAR-145 and in respect to that work the item(s) is(are) considered ready for release to service. (See reverse side)		
13b Authorised Signature		13c Approval Reference Number		14b Authorised Signature	
13d Name		13e Date (dd/mm/yyyy)		14d Name	
13f Date (dd/mm/yyyy)		13g Date (dd/mm/yyyy)		14e Date (dd/mm/yyyy)	

Doc. 1 54.921 (Instructions for completion refer to HKAR-2 Chapter 31)

CAD Form One (reverse side)

AUTHORISED RELEASE CERTIFICATE - CAD FORM ONE

USER/INSTALLER RESPONSIBILITIES

NOTE:

- 1 It is important to understand that the existence of the Document alone does not automatically constitute authority to install the item(s).
- 2 Where the user/installer works in accordance with the national regulations of an Airworthiness Authority different from the Airworthiness Authority specified in Block 1 it is essential that the user/installer ensures that his/her Airworthiness Authority accepts item(s) from the Airworthiness Authority specified in Block 1.
- 3 Statements in Blocks 13 and 14 do not constitute installation certification. In all cases the aircraft maintenance record must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.

5.11. Formular „CAA FORM 8110-3“ (Zivilluftfahrtbehörde Neuseelands)

STATEMENT OF COMPLIANCE WITH AIRWORTHINESS REQUIREMENTS			1. 8110-3 Tracking Reference:
PRODUCT APPLICABILITY			
2. MAKE:	3. MODEL / PART N°:	4. PRODUCT TYPE (Aircraft, Engine, Propeller, Component):	5. CAA Part 146 Organisation:
			6. CAA Part 146 Client ID N°:
LIST OF TECHNICAL DATA			
7. IDENTIFICATION:	8. TITLE(S):		
9. PURPOSE OF DATA:			
10. APPLICABLE REQUIREMENTS (List specific sections):			
11. CERTIFICATION: This Statement of Compliance certifies that the technical data listed above has been examined in accordance with established procedures of the specified Part 146 Design Organisation and found to comply with CAR 21.31 and the applicable airworthiness requirements listed above.			
12. SIGNATURE(S) of Part 146 Authorised Persons:	13. NAME:	14. DATE	

5.12. Formular „CAAC Form AAC-038“ (Zivilluftfahrtbehörde Chinas)

Civil Aircraft Maintenance Organization Certification Regulations

(CAAC Decree No.152)

Attachment 7-Authorized Release Certificate/Airworthiness Approval Tag [Form AAC-038(12/94)]

1 国家 Country		2 中国民用航空总局 CAAC 二 符合性 Conformity 三 适航性 Airworthiness			3 证书编号 Certificate Ref. No.	
批准放行证书/适航批准标签 AUTHORIZED RELEASE CERTIFICATE/AIRWORTHINESS APPROVAL TAG						
4 单位 Organization				5 工单号/合同号/发票号 Work Order/Contract Invoice		
6 序号 S/N	7 描述 Description	8 件号 Part No.	9 适用性 Eligibility	10 表式 Tag	11 表式号/批号 Serial/Batch No.	12 产品状态 Status/Mark
13 备注 Remarks						
14 进口产品 Import Product 兹声明：本产品按照其设计制造符合：按照《适航规章》第 21 条的规定， 符合：本产品(由本产品)符合经批准的型号设计资料中规定的所有要求。 Certifies that the Product Import is in accordance with the requirements specified in Block 13 and/or other documents/inspected in accordance with the airworthiness requirements of the source country and/or in the case of import to be exported with the approved design data and will be in full compliance with the requirements of the importing country.				15 适航性产品 Airworthiness Product 兹声明：本产品按照其设计制造符合：按照《适航规章》第 21 条的规定， 符合：本产品(由本产品)符合经批准的型号设计资料中规定的所有要求。 Certifies that the Product specified above except as specified in Block 13 was examined and found to be in full compliance with the airworthiness requirements of the source country and the model/special equipment of the importing country and in respect to the design, the part(s) is/are in condition for safe operation and considered ready for release to service. (over)		
16 批准人签名 Signature		18 批准日期 Date		19 中国民航总局授权 Issued by on behalf of the CAAC		
17 批准人姓名(打印) Name(Printed)						

AAC-038(12/94)

* 参阅《适航规章》第 21 条的规定
 Cross-check eligibility for more details with parts catalogue

5.13. Formular „CAAV FORM ONE“ (Zivilluftfahrtbehörde Vietnams)

1.  CAA OF VIETNAM		2. CAAV FORM ONE AUTHORIZED RELEASE CERTIFICATE			3. Form tracking Number	
4. Approved Organization Name and Address					5. Work Order/ Contract/Invoice:	
6. Item	7. Description	8. Part No.	9. Eligibility *	10. Quantity	11. Serial/ Batch No.	12. Status/ Work
13. Remarks						
14. Certifies that the items identified above were manufactured in conformity to: ☐ Approved design data and are in condition for safe operation. ☐ Non-approved design data specified in Block 13			19. ☒ VAR-145.90 Release to Service ☐ Other regulation specified in Block 13 Certifies that unless otherwise specified in Block 13, the work specified in Block 12 and described in Block 13, was accomplished in accordance with VAR-145 and in respect to that work, the items are considered ready for release to service.			
15. Authorized Signature		16. Approval/ Authorization No.		20. Authorized Signature:		21. Certificate/Approval No: VN 058NN / CAAV
17. Name		18. Date (dd/mm/yyyy)		22. Name:		23. Date (dd/mm/yyyy)

* Installer must cross-check eligibility with applicable technical data

5.14. Formular „DAAO Form 21-18“ (Generaldirektion für Zivilluftfahrt – Indonesien)

 1. REPUBLIC OF INDONESIA MINISTRY OF TRANSPORTATION DIRECTORATE GENERAL OF CIVIL AVIATION DIRECTORATE OF AIRWORTHINESS AND AIRCRAFT OPERATION		2. AUTHORIZED RELEASE CERTIFICATE DAAO Form 21-18, AIRWORTHINESS APPROVAL TAG		3. Form Tracking Number	
4. Organization Name and Address:			5. Work Order/Contract/ Invoice No.:		
6. Item	7. Description	8. Part Number	9. Quantity	10. Serial Number	11. Status/Work
12. Remarks:					
13. a. ☐ Certified the items identified above were manufactured in conformity to: ☐ Approved design data and are in a condition for safe operation ☐ Non-approved design data specified in Block 12.			14. a. ☐ CASR Part 43.8 Return to Service ☐ Other regulation specified in Block 12 Certified that unless otherwise specified in Block 12, the work identified in Block 11 and described in block 12 was accomplished in accordance with CASR part 43 and in respect to that work, the items are approved for return to service.		
13. b. Authorized Signature:		13. c. Approval/Authorization No.:		14. b. Authorized Signature:	
13. d. Name (Type or Printed):		13. e. Date (dd mm yyyy):		14. c. Approval/Certificate No.:	
14. d. Name (Type or Printed):		14. e. Date (dd mm yyyy):			
User/Installer Responsibilities					
It is important to understand that existence of this document alone does not automatically constitute authority to install the parts/components/assembly. Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different from the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts parts/components/assemblies from the airworthiness authority of the country specified in Block 1. Signatures in Blocks 13 a. and 14 a. do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulation by the user/installer before the aircraft may be flown.					
DAAO Form 21-18 (Rev. 2015) *The user/installer must check carefully with applicable technical data.					

5.15. Formular „CAAP FORM 1“ (Zivilluftfahrtbehörde der Philippinen)

1. Approving Competent Authority / Country CAAP / Republic of the Philippines		2. AUTHORIZED RELEASE CERTIFICATE CAAP FORM 1, AIWORTHINESS APPROVAL TAG				3. System Tracking Ref. No.	
4. Approved Organization Name and Address:						5. Work Order/Contract/Invoice No.	
6. Item	7. Description	8. Part No.	9. Eligibility	10. Qty.	11. Serial / Batch No.	12. Status / Work	
13. Remarks							
14. Return to Service in accordance with Subpart 5.7.1.1 (Conditions that underlies were specified in block 13, the work specified in block 12 (or attached to) above was carried out in accordance with CAAP airworthiness regulations and in respect to the work performed the part(s) is (are) approved for return to service.							
15. Authorized Signature		16. Certificate No.	17. Name (Typed or Printed)			18. Date (ddmmmyy)	

CAAP Form 1 (1/2008)

USER/INSTALLER RESPONSIBILITIES

1. It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component/assembly.
2. Where the user/installer work in accordance with the national regulations of an Airworthiness Authority different than the Airworthiness Authority of the country specified in block 1 it is essential that the user/installer ensure that his/her Airworthiness Authority accepts part/component/assemblies from the Airworthiness Authority of the country specified in block 1.
3. Statements in block 14 do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.

5.16. Formular „GACA SS&AT _F8130-3“ (Allgemeine Zivilluftfahrtbehörde – Saudi-Arabien)

 GACA الهيئة العامة للطيران المدني General Authority of Civil Aviation		
AUTHORIZED RELEASE CERTIFICATE		
1. Approving Civil Aviation Authority/Country: GACA/Saudi Arabia	2. AIRWORTHINESS APPROVAL TAG	3. Form Tracking Number:
4. Organization Name and Address:		5. Work Order/Contract/Invoice Number:
6. Item:	7. Description:	8. Part Number:
9. Quantity:	10. Serial Number:	11. Status/Work:
12. Remarks:		
13a. Certifies the item identified above were manufactured in conformity to: ☐ Approved design data and are in a condition for safe operation. ☐ Non-approved design data specified in Block 12.		
14a. ☐ GACAR § 43.11 ☐ Other regulation specified in Block 12 Certifies that unless otherwise specified in Block 12, the work identified in Block 11 and described in Block 12 was accomplished in accordance with GACAR Part 43 and in respect to that work, the item are approved for return to service.		
13b. Authorized Signature:	13c. Approval/Authorization No.:	14b. Authorized Signature:
13d. Name (Typed or Printed):	13e. Date (dd/mm/yyyy):	14c. Approval/Certificate No.:
14d. Name (Typed or Printed):	14e. Date (dd/mm/yyyy):	
User/Installer Responsibilities It is important to understand that the existence of this document alone does not automatically constitute authority to install the aircraft engine/propeller/article. Where the user/installer performs work in accordance with the national regulation of an airworthiness authority different than the airworthiness authority of the country specified in Block 1, it is essential that the user/installer ensures that his/her airworthiness authority accepts aircraft engine(s)/propeller(s)/article(s) from the airworthiness authority of the country specified in Block 1. Statements in Blocks 13a and 14a do not constitute installation certification. In all cases, aircraft maintenance records must contain an installation certification issued in accordance with the national regulation by the user/installer before the aircraft may be flown.		

5.17. Formular „AW FORM 1“ (Allgemeine Zivilluftfahrtbehörde – Vereinigte Arabische Emirate)

CAR 145

APPENDIX I to CAR 145

1. General Civil Aviation Authority United Arab Emirates		2. AUTHORISED RELEASE CERTIFICATE AW FORM 1			3. Form Tracking Number
4. Organisation Name and Address					5. Work Order/Contract/Invoice
6. Item	7. Description	8. Part Number	9. Qty.	10. Serial Number	11. Status/Work
12. Remarks					
13a. Certifies that the items identified above were manufactured in conformity to: ☐ Approved design data and are in condition for safe operation ☐ Non-approved design data specified in block 12			14a. ☐ CAR 145.50 Release to Service ☐ Other regulation, specified in block 12 Certifies that unless otherwise specified in block 12, the work identified in block 11 and described in block 12, was accomplished in accordance with CAR 145 and in respect to that work the items are considered ready for release to service.		
13b. Authorised Signature		13c. Approved/Authorised Number		14b. Authorised Signature	
13d. Name		13e. Date (dd mmm yyyy)		14c. Certified/Approved Ref. Number	
				14d. Name	
				14e. Date (dd mmm yyyy)	
USER/INSTALLER RESPONSIBILITIES This certificate does not automatically constitute authority to install the item(s). Where the user/installer performs work in accordance with regulations of an airworthiness authority different than the airworthiness authority specified in block 1, it is essential that the user/installer ensures his/her airworthiness authority accepts items from the airworthiness authority specified in block 1. Statements in blocks 13a and 14a do not constitute installation certification. In all cases aircraft maintenance records must contain an installation certification issued in accordance with the national regulations by the user/installer before the aircraft may be flown.					

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5.18. Formular „Form C-5“ (Luftfahrtbehörde der Russischen Föderation)

Приложение 1

1. Компетентные власти, выдающие одобрение/ страна Approving Competent Authority/Country		2. ПОСВЕДОВАНИЕ О ВОЗДУШНОЙ ГОДНОСТИ AIRWORTHINESS APPROVAL TAG Форма C-5 Form C-5				3. Номер отслеживания № Tracking Number	
4. Название и адрес одобряющей организации Approved Organization Name and Address						5. Договор/ Заказ/ Накладная Contract / Work Order/ Invoice	
6. № Item	7. Описание Description	8. Тип компонента Part number	9. Пригодность Eligibility	10. Кол-во Quantity	11. Серийный № Serial Number	12. Статус/ Работа Status/ Work	
13. Дополнительные сведения Remarks							
14. Удостоверяется, что компонент(ы) изготовлен(ы) в соответствии с: Certifies that the part(s) identified above was (were) manufactured in conformity to: [] Одобренными конструктивными данными, и включен в эксплуатацию. Approved design data and are in a condition for safe operation. [] Не получившим одобрение конструктивных данных, указанных в п. 13. Not approved design data specified in Block 13.				19. Эксплуатирующая часть. Попрать в эксплуатацию. Used parts. Release to Service [] AP-145 [] Другие правила, указанные в блоке 12 Other regulation specified in the block 13 Удостоверяется, что работа, указанная в блоке 12 и описанная в блоке 13, была выполнена в соответствии с указанными правилами и компоненты готовы для передачи в эксплуатацию. Certifies that the work identified in block 12 and described in block 13, was accomplished in accordance with mentioned regulations and in respect to that work the components are considered ready for release to service			
15. Подпись уполномоченного представителя Signature of the authorized representative		16. Свидетельство /Сертификат № Approval/ Certificate Number		20. Подпись уполномоченного представителя Signature of the authorized representative		21. Сертификат № Certificate Number	
17. Фамилия Name		18. Дата Date		22. Фамилия Name		23. Дата Date	
Примечание/ NOTE Необходимо указать, что наличие только данного документа не дает права на установку части/компонента. Когда пользователь выполняет работу в соответствии с национальными законодательными актами авиационных властей, отличных от указанных в блоке 1, необходимо, чтобы указывался серийный номер, что его выданный частью/компонентом от авиационных властей, указанных в блоке 1. It is important to understand that the existence of this document alone does not automatically constitute authority to install the part/component. Where the user/installer performs work in accordance with the national regulations of an airworthiness authority different than the airworthiness authority specified in Block 1, it is essential that the user/installer ensures that higher airworthiness authority accepts part/component/assemblies from the airworthiness authority specified in Block 1. Форма C-5/ Form C-5							